UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: 10/687,837

Confirmation No.: 2005

First Named Inventor

: Kenshou MIYATAKE : October 20, 2003

Filed TC/A.U.

: 2655

Examiner

: To Be Assigned

Docket No.

: 010482.52834US

Customer No.

: 23911

Title

: Optical Pickup Device and Optical Disk Playback

Device Provided Therewith

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This supplements the Information Disclosure Statement submitted in the above-identified application on October 20, 2003.

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the aboveidentified application.

English abstract summarizing the disclosures of the Japanese publications are submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

November 16, 2004

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JDS:ms

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Complete if Known Substitute for form 1449A/PTO 10/687,837 **Application Number** INFORMATION DISCLOSURE October 20, 2003 Filing Date Kenshou MIYATAKE STATEMENT BY APPLICANT First Named Inventor Art Unit 2655 **Examiner Name** (use as many sheets as necessary) 010482.52834US Attorney Docket Number Sheet

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initials'	No. ¹	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	AA	US- 5,978,139	11-02-1999	HATAKOSHI ET AL.				
		US-						
	-	US-						
		US-						
		US-						
		US-						
		US-						

FOREIGN PATENT DOCUMENTS									
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
	AB	JP-10208280-A	08-07-1998	MATSUSHITA ELECTRIC IND CO LTD.		АВ			
	AC	JP-10149561-A	06-02-1998	SONY CORP		AB			
	AD	JP-07302437-A	11-14-1995	TOSHIBA CORP		AB			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.